



Contractor Application

(8/2011)

Please include with this application:

- ___ Five (5) years currently valued, legitimate loss runs;
- ___ Resume of owner (required if start up or less than two years business history):
- ___ List of major work completed in the last three years; and
- ___ Copy of appropriate contractor's license.

If a deductible larger than \$7,500 is requested, include current financial information (both profit/loss and balance sheet)

BASIC INFORMATION

Producer/Agency: _____
 Address: _____
 City: _____ State: _____ Zip Code: _____
 Telephone: _____ Fax: _____
 Contact: _____ E-mail: _____

Insured/Applicant: _____
 Mailing Address: _____
 City: _____ State: _____ Zip Code: _____
 Location Address: _____
 City: _____ State: _____ Zip Code: _____
 Telephone: _____ Fax: _____
 Contact: _____ E-mail: _____
 Website: _____

Business Entity

- Individual Partnership Corporation LLC Other

FEIN/SSN	Contractor's License State/Number

Has the ownership of this firm been insured under any prior names or organizations? Yes No

If Yes, under what name(s)?

If Yes, What kind of operations?

If yes, for which and how many years (each)

Number of years this entity has been in business:

Number of years experience the owner(s) have in contracting business:

Description of Operations:



Do you lease equipment to others? Yes No

Do you lease or loan employees? Yes No

Do you have any operations other than contracting? Yes No

Have you ever been refused a performance bond or liability insurance? Yes No

Have you allowed others to use your license? Yes No

Has the owner or the business ever been bankrupt or insolvent? Yes No

Has any government or regulatory authority ever fined or investigated the firm or owner related to any contracting operations? Yes No

Do your operations have any involvement with USL&H or Jones Act? Yes No

INSURANCE PROFILE

Attach **Complete**, **Currently Valued** and **Legible** loss runs from prior carriers (five years).

	Current Year	One Year Prior	Two Years Prior	Three Years Prior	Four Years Prior
Insurance Carrier:					
Occurrence Limit:					
General Agg. Limit:					
P/CO Agg. Limit:					
Deductible:					
Premium:					

Number of General Liability claims in past five years? _____

Average claims amount paid and/or reserved, per year, over past five years? _____

Largest Premises/Operations claim in past five years? _____

Largest Products/Completed Operations claim in past five years? _____

Any construction Defect Claims? Yes No Any pending Suits of any sort? Yes No

COVERAGE REQUESTED

Proposed Effective Date: _____ Proposed Expiration Date: _____

Occurrence Limit	General Agg. Limit	P/CO Agg. Limit	Deductible
<input type="checkbox"/> Yes <input type="checkbox"/> No Blanket Additional Insurance		<input type="checkbox"/> Yes <input type="checkbox"/> No Scheduled Additional Insurance	
<input type="checkbox"/> Yes <input type="checkbox"/> No Primary/Non-contributory wording (required)		<input type="checkbox"/> Yes <input type="checkbox"/> No Waiver of subrogation	
<input type="checkbox"/> Yes <input type="checkbox"/> No Contingent Employer's Liability (Stop Gap)		<input type="checkbox"/> Yes <input type="checkbox"/> No Sunset Clause	
<input type="checkbox"/> Yes <input type="checkbox"/> No Per Project Aggregate		<input type="checkbox"/> Yes <input type="checkbox"/> No Prior Work Coverage	
<input type="checkbox"/> Yes <input type="checkbox"/> No Other:			

Notes: _____

BUSINESS PROFILE

	Projected for Next Year	Actual from Past Year
% Residential (vs. Commercial)		
% General Contracting (vs. Sub-contracting)		
% New Construction (vs. Other)		
% Tract work (vs. Other)		
Size of Largest tract		
Number of homes (projects) in progress		
Number of homes (projects) completed		



	Projected for Next Year (\$)	Actual from Past Year (\$)	Actual Two Years Prior (\$)	Actual Three Years Prior (\$)
Total Receipts				
Total Payroll				
Total Cost-Work subcontracted				

Type of Work Performed In-House and/or Sub-contracted

In=percentage (%) of projected Total Payroll shown above.

Sub=percentage (%) of projected Total Cost-Work Sub-contracted shown above.

	In	Sub		In	Sub		In	Sub
Carpentry-Interior/Finish			Grading			Sewer		
Carpentry-Exterior/Rough			HVAC			Sheet Metal		
Concrete Flatwork			Insulation			Siding		
Concrete Foundations			Landscaping			Sprinkler/Alarm System		
Concrete Walls			Masonry			Street/Road		
Demolition			Painting			Supervisory only		
Drywall			Plastering/Stucco			Tile		
Electrical			Plumbing			Water/Gas Mains		
Excavation			Remediation/Abatement			Windows or Glass		
Floor Covering			Roofing			Other (describe below)		

Description of Other: _____

What was the largest job completed during the past three years?

Description: _____

Total Receipts: \$ _____

What is the maximum number of stories (height) of prior or planned projects?

If work is performed below grade, what is the depth?

If retaining walls are constructed, what is the maximum height?

List all states in which work will be performed during the upcoming year?

What is the value of the Contractor's Bond?

Have any of the following construction operations been performed during the prior five years or are they planned to be performed during the upcoming year?

- Yes No Airport Work _____
- Yes No Asbestos Abatement _____
- Yes No Blasting Operations _____
- Yes No Chemical Spraying _____
- Yes No Condominiums or town Houses _____
- Yes No Dams, Levees or Bridges _____
- Yes No Demolition in excess of 3 stories _____

- Yes No Drilling _____
- Yes No Earthquake retro-fit _____
- Yes No Extermination _____
- Yes No Oil Lease Work _____
- Yes No Railroads _____
- Yes No Scaffolding Erection _____
- Yes No Swimming Pools _____
- Yes No Traffic Signals _____

Explain any "Yes" response:

Do you have any prior or planned work covered under a WRAP (OCIP or CCOP)? Yes No

If Yes, for planned work, how much is estimated for receipts?

If Yes, for planned work, were any of these receipts included in project sales figures above? Yes No



POSITIVE PRACTICES

Answer "Yes" or "No" to indicate the description applies or does not apply to your operations. Also, check box if proof/documentation is provided (attach, if available)

A. Premises Operations Practices

- | | | <u>Proof Attached</u> |
|---|--|--------------------------|
| 1. Employ/Contract Qualified Safety Professional—list qualifications, resume | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 2. Written Liability Claims reporting system | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 3. Written Liability Claims Status and Tracking system | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 4. Photographs jobsites before, during and upon completion of work | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 5. Inspects and Documents jobsites with multiple contractors at least once/week | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |

B. Loss History Correlation

- | | | <u>Proof Attached</u> |
|---|--|--------------------------|
| 1. No general liability claims during the prior five years | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 2. No general liability products/completed operation claims during prior five years | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 3. No claims over \$10,000 during prior seven years | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |

C. Subcontracted Risk Management

- | | | <u>Proof Attached</u> |
|---|--|--------------------------|
| 1. Uses written agreements with subcontractors 100% of the time | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 2. Written agreements include hold harmless/indemnification language | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 3. Request Certificate and Additional Insured from Sub-contractors | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 4. Has written tracking systems for agreements and insurance requirements | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 5. Requests copy of subcontractor's safety program prior to work | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 6. Uses written standards in selecting subcontractors that note L&I or Work comp modifiers, credit score or other third party scoring criteria. | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 7. Contracts effectively disallow action over claims by injured, subcontracted workers | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |

D. Recordkeeping and Resources

- | | | <u>Proof Attached</u> |
|---|--|--------------------------|
| 1. ALL agreements with customer provide for arbitration instead of civil suit | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 2. Expiring General Liability Insurance is on an occurrence form basis | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 3. Customer acceptance and confirmation of quality adequately documented | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 4. Designated and experienced legal resources for liability claims | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 5. Records kept and tracked for at least 7 years | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |
| 6. Third party warranty (with arbitration clause) purchased for all projects. | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> |

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND [NY: SUBSTANTIAL] CIVIL PENALTIES. (Not applicable in CO, HI, NE, OH, OK, OR, or VT; in DC, LA, ME, TN and VA, insurance benefits may also be denied)

THE UNDERSIGNED IS AN AUTHORIZED REPRESENTATIVE OF THE APPLICANT AND CERTIFIES THAT REASONABLE ENQUIRY HAS BEEN MADE TO OBTAIN THE ANSWERS TO QUESTIONS ON THIS APPLICATION. HE/SHE CERTIFIES THAT THE ANSWERS ARE TRUE, CORRECT AND COMPLETE TO THE BEST OF HIS/HER KNOWLEDGE.

Applicant	Date	Producer	Date
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